

# Brochure Supplement

JULY 13, 2020

## Erik C. Mizell

10 Toy Street, Suite 200  
Greenville, SC 29601

(864) 720-2000

This Brochure Supplement provides information about Erik C. Mizell that supplements the Disclosure Brochure of Foster Victor Wealth Advisors, LLC (hereinafter “Foster Victor Wealth Advisors”), a copy of which you should have received. Please contact Foster Victor Wealth Advisors’ Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Erik C. Mizell is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Foster Victor Wealth Advisors, LLC, a Registered Investment Adviser**

10 Toy Street, Suite 200, Greenville, SC 29601 | (864) 720-2000  
[www.fostervictorwa.com](http://www.fostervictorwa.com)

## Item 2. Educational Background and Business Experience

Born 1979

### Post-Secondary Education

Francis Marion University | B.A., Marketing | 2001

### Recent Business Background

Foster Victor Wealth Advisors, LLC | Wealth Coach | May 2016 – Present

Northwestern Mutual Investment Services, LLC | Wealth Management Advisor | May 2012– May 2016

Northwestern Mutual Investment Services, LLC | Registered Representative | January 2013– May 2016

Stewart Enterprises | Sales Manager | October 2009 – February 2012

### Professional Designations

Erik C. Mizell holds the professional designations of CERTIFIED FINANCIAL PLANNER™ (“CFP®”), Chartered Life Underwriter (“CLU®”), and Retirement Income Certified Professional (RICP®).

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the “CFP Board”) to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor’s degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

The CLU® designation is an insurance credential awarded by the American College to individuals who meet its educational, work experience and ethical requirements. Recipients of the CLU® designation have completed five required college-level core courses and three electives, covering all aspects of insurance planning, estate and retirement issues, taxation, business insurance and risk management. Recipients are further required to have three years of full-time business experience and must adhere to the American College Code of Ethics and Procedures. In order to maintain the designation, CLU® holders must satisfy the ongoing requirements of the PACE Recertification Program, which includes 30 hours of continuing education at least every two years.

The RICP designation is a credential awarded by the American College to individuals who meet its educational, work experience and ethical requirements. Recipients of the RICP designation have completed three required courses: retirement income process, strategies, and solutions; sources of retirement income; and managing a retirement income plan, equivalent of 9 semester credit hours. Recipients are further required to have three years of professional experience and must adhere to the American College Code of Ethics and Procedures. In order to maintain the designation, RICP holders must satisfy the ongoing Continuing Education requirements, which includes 15 hours of continuing education at least every two years.

The RICP® designation is issued by the American College of Financial Services. Applicants to the RICP® program must successfully complete 3 required courses, meet experience requirements (3 years business experience within the last five years) and agree to comply with the College's Code of Ethics and Procedures. The program courses are self-study and available online; each includes lectures, expert interviews, practice exams, assessment questions and professor-driven webinars. Each course concludes with a 2-hour 100-question exam. To maintain the right to hold out the designation, certificants must complete continuing education through the College's Professional Recertification Program.

For additional information about each of these credentials, please refer directly to the website of the issuing organization.

### **Item 3. Disciplinary Information**

Foster Victor Wealth Advisors is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Erik C. Mizell. Foster Victor Wealth Advisors has no information to disclose in relation to this Item.

### **Item 4. Other Business Activities**

Foster Victor Wealth Advisors is required to disclose information regarding any investment-related business or occupation in which Erik C. Mizell is actively engaged.

#### **Licensed Insurance Agent**

Erik C. Mizell is a licensed insurance agent and in such capacity may recommend, on a fully-disclosed commission basis, the purchase of certain insurance products. A conflict of interest exists to the extent that Foster Victor Wealth Advisors recommends the purchase of insurance products where Erik C. Mizell receives insurance commissions or other additional compensation. Foster Victor Wealth Advisors seeks to ensure that all recommendations are made in the best interests of clients regardless of any additional compensation earned.

## Item 5. Additional Compensation

Foster Victor Wealth Advisors is required to disclose information regarding any arrangement under which Erik C. Mizell receives an economic benefit from someone other than a client for providing investment advisory services. In addition to his regular salary, Erik C. Mizell is entitled to receive a portion of investment advisory fees paid to Foster Victor Wealth Advisors by those clients in which he brings to the firm. In addition, Erik C. Mizell may receive a portion of insurance commissions earned by other Supervised Persons of the Firm related to clients' purchase of insurance products.

## Item 6. Supervision

Kylie K. Felker, Chief Compliance Officer and Chief Operations Officer, is generally responsible for supervising Erik C. Mizell's advisory activities on behalf of Foster Victor Wealth Advisors. Kylie K. Felker can be reached at the firm's main telephone number listed on the cover page of this Brochure Supplement.

Foster Victor Wealth Advisors supervises its personnel and the investments made in client accounts. Foster Victor Wealth Advisors monitors the investments recommended by Erik C. Mizell to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Foster Victor Wealth Advisors periodically reviews the advisory activities of Erik C. Mizell, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Erik C. Mizell.